

ANNE ARUNDEL COUNTY ETHICS COMMISSION
HERITAGE OFFICE COMPLEX
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<http://www.aacounty.org/boards-and-commissions/ethics-commission/>

(Note: Please type or print **legibly** or the form will not be accepted.)

FINANCIAL DISCLOSURE STATEMENT

for January 1, 2016 through date of termination _____

| | | |
|------------|----------------|-----------|
| First Name | Middle Initial | Last Name |
|------------|----------------|-----------|

Mailing Address (work or home)

City, Town, or Post Office, State and Zip Code

| | | |
|-------|-------|-----|
| Phone | Email | Fax |
|-------|-------|-----|

County Office or Position Held

County Department, Agency, Board, or Commission

This statement of 12 pages including this cover sheet, lists all interests and related matters required to be disclosed pursuant to Article 7 of the Anne Arundel County Code, the Public Ethics Law, for the calendar year **2016**. The statement consists of this cover sheet, together with Schedules A - H, fronts and backs of each page. **The statement must be completely filled out before it will be accepted. Failure to file, or to file a fully completed statement, constitutes a violation of Title 6 of the Public Ethics Law.** Use additional paper as necessary.

Oath and Signature

I solemnly swear or affirm under the penalties of perjury that the contents of this statement, including any attachments thereto, are complete, true, and correct to the best of my knowledge, information, and belief.

Date

Signature

SCHEDULE A: REAL PROPERTY INTERESTS

1. Did you have an interest in any real property, including leasehold interests, located in or out of Maryland?

Yes _____

No _____

If yes, complete Schedule A for each property interest. (Make copies of this schedule, if necessary.) If no, go to the next schedule.

2. List the street address (or mailing address or legal description), city, state, and zip code for each property.

3. List the improvements to each property, including buildings or other structures.

4. Describe the uses of each property, including residential, commercial, agricultural, industrial, mineral, etc.

5. State whether you hold each property interest directly, or whether it is attributable (see definitions) to you. If attributable, state how.

6. State whether each property interest is held in fee simple, joint tenancy, tenancy in common, tenancy by the entireties, leasehold, or life estate.

7. If you are not the sole holder of each interest:

(a) state the percentage of each interest held by you; and

(b) state the names of all other persons holding an interest in each property.

8. List conditions or encumbrances (mortgages, liens, contracts, options, etc.) affecting your interest in each property, and the name of the person that holds each encumbrance (mortgage companies, lenders, creditors, etc.).

9. State the date each property interest was acquired by you.

10. State the manner in which each property interest was acquired (purchase, gift, will, etc.).

SCHEDULE B: INTERESTS IN BUSINESS ENTITIES

1. Did you have an interest in any business entity (see definitions), whether corporate or non-corporate? **(All filers must disclose information pertaining to stock ownership and in addition, elected employees must disclose information pertaining to mutual funds.)**

Yes _____

No _____

If yes, complete Schedule B for each interest. (Make copies of this schedule if necessary.) If no, go to the next schedule.

2. State the complete name, address and telephone number of the principal office of each business entity in which you had an interest.

3. Describe the type of work performed by each business entity.

4. Describe the nature of your interest in each business entity (e.g., sole proprietor, partner, member, stockholder, director, trustee, etc.). If the interest was attributable (see definitions) to you, state how.

5. State the amount of interest held in each business entity:

(a) If the business entity is a publicly traded corporation, state the number of shares owned.

(b) If the business entity is a non-publicly traded corporation, state the number of shares owned and the percentage of ownership.

(c) If the business entity is a non-corporate business, state the percentage of the equity interest held.

6. List any conditions or encumbrances (mortgages, liens, contracts, options, etc.) affecting your interest in each business entity, and the name of the person that holds each encumbrance (mortgage companies, lenders, creditors, etc.).

7. (a) For each interest in a business entity acquired by you during the applicable period, other than acquisitions made in accordance with item 7(b) below, state:

i. the date it was acquired;

ii. the manner in which it was acquired (e.g., purchase, gift, or will);

iii. the name of the person from whom it was acquired;

iv. the amount of money or other consideration paid, or to be paid, for the interest, if the interest was acquired by purchase;

v. the fair market value of the interest at the time it was acquired, if it was NOT acquired by purchase.

(b) If you acquired any interest in a publicly traded corporate business entity during the applicable period solely through dividend or dividend reinvestment, and the total value of the acquisition was less than \$500.00, state the name of each business entity.

8. If any interest in a business entity was transferred by you during the applicable period, state:

(a) the nature of each interest transferred;

(b) the amount of money or other consideration received, or to be received, for the interest, if the interest was transferred by sale;

(c) the person to whom each interest was transferred.

9. For any interest in a business entity that does business with the County (see definitions) or did business with the County during the applicable period, describe the nature of the business done with the County.

SCHEDULE C: GIFTS AND HONORARIA

1. Did you receive, either directly or indirectly, from (or on behalf of) any person or business entity that is doing business with the County (see definition): (a) any individual gift worth \$20 or more; or (b) a series of gifts totaling \$50 or more from any one person? **Note:** Do not include political contributions (Election Law, Section 1-101(o) of the *Annotated Code of Maryland*) or gifts received from your spouse, parents, or children.

Yes _____

No _____

If yes, complete item #2 of this Schedule for each gift. If no, go to item #3 on this schedule.

2. (a) Describe each gift (including cash).

(b) State the retail value of each gift.

(c) State the name of the person from whom, or on whose behalf, each gift was received.

(d) State the name of any other person receiving each gift, if it was given to that person at your request.

3. Did you receive any honoraria for speaking at, participating in, or attending a meeting or other function, or for writing an article that has been or is intended to be published?

Yes _____

No _____

If yes, complete this item. If no, go to the next schedule.

(a) Describe the service performed for each honorarium.

(b) State the type of each honorarium received, and the value of the honorarium (including cash).

(c) State the name of the person from whom, or on whose behalf, each honorarium was received.

SCHEDULE D: OFFICES, DIRECTORSHIPS, AND EMPLOYMENT IN BUSINESS ENTITIES

1. Did you, a relative (see definition), or a relative of your spouse, hold any office, directorship, employment or other similar interest in any "entity doing business with the county" (see definition) that was not disclosed in any other schedule of this statement?

Yes _____

No _____

If yes, complete Schedule D for each business entity. If no, go to the next schedule.

2. (a) State the name of the person holding any office, directorship, employment or other similar interest in any entity doing business with the county and state the relationship of that person to you.

(b) State the name and address of the principal office of each entity.

(c) State the title and nature of each office, directorship, or salaried employment, and the date it commenced.

(d) State the name of each government unit (see definition), with which each entity does business and the nature of the business that the entity does with that unit.

SCHEDULE F: EMPLOYMENT BY THE COUNTY

1. Were any of your relatives (see definition), or relatives of your spouse, employed by the County in any capacity, whether or not compensated?

Yes _____

No _____

If yes, complete Schedule F for each person. If no, go to the next schedule.

2. (a) State the name of each relative employed by the County, and that person's relationship to you.

(b) State the name of the County agency, board, or commission, authority or other entity where each person was employed.

(c) State the position each person held with the County agency, board, commission, authority, or other entity.

SCHEDULE G: EMPLOYMENT/BUSINESS OWNERSHIP

1. Did you, your spouse, or any dependent children engage in compensated employment during the calendar year covered by this statement?

Yes _____

No _____

If yes, state the name and address of each place of employment and the name and relationship to you of the person employed.

2. Did you, your spouse, or any dependent children own, in whole or in part, any business entity from which income was earned or received during the calendar year?

Yes _____

No _____

If yes, state the name and address of each business entity from which income was earned or received and the name and relationship to you of the person who earned or received that income.

SCHEDULE H: OTHER INTERESTS AND INFORMATION

List any additional interests or information not listed on any other Schedule that you choose to disclose.