

ANNE ARUNDEL COUNTY ETHICS COMMISSION  
HERITAGE OFFICE COMPLEX  
2666 RIVA ROAD, SUITE 160, MS 8160  
ANNAPOLIS, MARYLAND 21401  
410-222-4412 (Phone)  
410-222-4414 (Fax)

(Note: Please type or print **legibly**, or the form will not be accepted.)

**FINANCIAL DISCLOSURE STATEMENT**

**for January 1, 2007 - December 31, 2007**

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First Name Middle Initial Last Name

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Mailing Address (work or home)

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City, Town, or Post Office, State and Zip Code

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Home Phone (optional) Office Phone Fax

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Office or Position Held

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County Office or Position held, County Department, Agency, Board, or Commission

This statement of 12 pages including this cover sheet, lists all interests and related matters required to be disclosed pursuant to Article 7 of the Anne Arundel County Code, the Public Ethics Law, for the calendar year **2007**. The statement consists of this cover sheet, together with Schedules A - H, fronts and backs of each page. **The statement must be completely filled out before it will be accepted. Failure to file, or to file a fully completed statement, constitutes a violation of Title 6 of the Public Ethics Law.** Use additional paper as necessary.

**Oath and Signature**

I solemnly swear or affirm under the penalties of perjury that the contents of this statement, including any attachments thereto, are complete, true, and correct to the best of my knowledge, information, and belief.

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Date

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Signature

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**SCHEDULE A: REAL PROPERTY INTERESTS**

1. Did you have an interest in any real property, including leasehold interests, located in or out of Maryland?

Yes \_\_\_\_\_

No \_\_\_\_\_

If yes, complete Schedule A for each property interest. (Make copies of this schedule, if necessary.) If no, go to the next schedule.

2. List the street address (or mailing address or legal description), city, state, and zip code for each property.

3. List the improvements to each property, including buildings or other structures.

4. Describe the uses of each property, including residential, commercial, agricultural, industrial, mineral, etc.

5. State whether you hold each property interest directly, or whether it is attributable (see definitions) to you. If attributable, state how.

6. State whether each property interest is held in fee simple, joint tenancy, tenancy in common, tenancy by the entireties, leasehold, or life estate.

7. If you are not the sole holder of the interest:

(a) state the percentage of the interest held by you; and

(b) state the names of all other persons holding an interest in the property.

8. List conditions or encumbrances (mortgages, liens, contracts, options, etc.) affecting your interest in the property, and the name of the person that holds each encumbrance (mortgage companies, lenders, creditors, etc.).

9. State the date each property interest was acquired by you.

10. State the manner in which each property interest was acquired (purchase, gift, will, etc.).

11. State the name of the person from whom each property interest was acquired.

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12. State the amount of money or the nature and value of any other consideration given for each property interest. If it was acquired other than by purchase (e.g., gift or will), state the fair market value of the property interest at the time acquired.

13. If all or part of any property interest was transferred by you during the period covered by this statement:

(a) describe the interest transferred;

(b) state the nature and the amount of consideration received in exchange for the interest; and

(c) state the name of the person to whom the interest was transferred.

**SCHEDULE B: INTERESTS IN BUSINESS ENTITIES**

1. Did you have an interest in any business entity (see definitions), whether corporate or non-corporate? (You must list ownership of shares of stock but you are not required to list ownership of any mutual funds).

Yes \_\_\_\_\_

No \_\_\_\_\_

If yes, complete Schedule B for each interest. (Make copies of this schedule if necessary.) If no, go to the next schedule.

2. State the complete name, address and telephone number of the principal office of each business entity in which you had an interest.

3. Describe the type of work performed by each business entity.

4. Describe the nature of your interest in each business entity (e.g., sole proprietor, partner, member, stockholder, director, trustee, etc.). If the interest was attributable (see definitions) to you, state how.

5. State the amount of interest held in each business entity:

(a) If the business entity is a publicly traded corporation, state the number of shares owned.

(b) If the business entity is a non-publicly traded corporation, state the number of shares owned and the percentage of ownership.

(c) If the business entity is a non-corporate business, state the percentage of the equity interest held.

6. List any conditions or encumbrances (mortgages, liens, contracts, options, etc.) affecting your interest in the business entity, and the name of the person that holds each encumbrance (mortgage companies, lenders, creditors, etc.).

7. (a) For any interest in a business entity acquired by you during the applicable period, other than acquisitions made in accordance with item 7(b) below, state:

i. the date it was acquired;

ii. the manner in which it was acquired (e.g., purchase, gift, or will);

iii. the name of the person from whom it was acquired;

iv. the amount of money or other consideration paid, or to be paid, for the interest, if the interest was acquired by purchase;

v. the fair market value of the interest at the time it was acquired, if it was NOT acquired by purchase.

(b) If you acquired any interest in a publicly traded corporate business entity during the applicable period solely through dividend or dividend reinvestment, and the total value of the acquisition was less than \$500.00, state the name of each business entity.

8. If any interest in a business entity was transferred by you during the applicable period, state:

(a) the nature of the interest transferred;

(b) the amount of money or other consideration received, or to be received, for the interest, if the interest was transferred by sale;

(c) the person to whom the interest was transferred.

9. For any interest in a business entity that does business with the County (see definitions) or did business with the County during the applicable period, describe the nature of the business done with the County.

1. Did you receive, either directly or indirectly, from (or on behalf of) any person or business entity that is doing business with the County (see definition): (a) any individual gift worth \$20 or more; or (b) a series of gifts totaling \$50 or more from any one person? **Note:** Do not include political contributions (See Article 33, Section 26-1, et seq., of the Annotated Code of Maryland) or gifts received from your spouse, parents, or children.

Yes \_\_\_\_\_

No \_\_\_\_\_

If yes, complete item #2 of this Schedule for each gift. If no, go to item #3 on this schedule.

2. (a) Describe each gift (including cash).

(b) State the retail value of each gift.

(c) State the name of the person from whom, or on whose behalf, the gift was received.

(d) State the name of any other person receiving a gift, if it was given to that person at your request.

3. Did you receive any honoraria for speaking at, participating in, or attending a meeting or other function, or for writing an article that has been or is intended to be published?

Yes \_\_\_\_\_

No \_\_\_\_\_

If yes, complete this item. If no, go to the next schedule.

(a) Describe the service performed for the honorarium.

(b) State the type of honorarium received, and the value of the honorarium (including cash).

(c) State the name of the person from whom, or on whose behalf, the honorarium was received.

**SCHEDULE D: OFFICES, DIRECTORSHIPS, AND EMPLOYMENT IN BUSINESS ENTITIES**

1. Did you, a relative (see definition), or a relative of your spouse, hold any office, directorship, employment or other similar interest in any "entity doing business with the county" (see definition) that was not disclosed in any other schedule of this statement?

Yes \_\_\_\_\_

No \_\_\_\_\_

If yes, complete Schedule D for each business entity. If no, go to the next schedule.

2. (a) State the name and address of the principal office of the entity.

(b) State the title and nature of the office, directorship, or salaried employment, and the date it commenced.

(c) State the name of each government unit (see definition) with which the entity does business.

(d) State the name of each County agency, board, or commission with which the business entity is involved and the nature of the business that the entity does with the County.

**SCHEDULE E: DEBTS OWED TO BUSINESS ENTITIES DOING BUSINESS WITH THE COUNTY**

1. Did you, your spouse, or dependent children, owe any debt, except for retail credit accounts, to any person or business entity (see definition) that does business with the county of which business you may reasonably be expected to know? Disclose the debts incurred by your spouse or dependent children only if you were involved in the transaction giving rise to the debt, or if you are, or could become, liable for the debt.

Yes \_\_\_\_\_

No \_\_\_\_\_

If yes, complete Schedule E for each debt. If no, go to the next schedule.

2. (a) State the name of the person to whom each debt was owed.
- (b) State the date each debt was incurred.
- (c) State the name of the person who incurred each debt and that person's relationship to you.
- (d) State the amount of the debt owed as of the end of the applicable period of this statement.
- (e) State the terms for payment of the debt, including the rate of interest, if any.
- (f) State whether the principal was increased or decreased during the year and by what amount.
- (g) Describe the security, if any, given for the debt.

**SCHEDULE F: EMPLOYMENT BY THE COUNTY**

1. Were any of your relatives (see definition), or relatives of your spouse, employed by the County in any capacity, whether or not compensated?

Yes \_\_\_\_\_

No \_\_\_\_\_

If yes, complete Schedule F for each person. If no, go to the next schedule.

2. (a) State the name of the relative employed by the County, and that person's relationship to you.

(b) State the name of the County agency, board, or commission, authority or other entity where the person was employed.

(c) State the position the person held with the County agency, board, commission, authority, or other entity.

**SCHEDULE G: GENERAL INCOME SOURCES**

1. Did you, your spouse, or any dependent children, receive income or compensation from any source that is not listed in Schedule F? This includes compensated employment and income from any business entity in which you or a member of your immediate family was a sole or partial owner.

Yes \_\_\_\_\_

No \_\_\_\_\_

If yes, complete Schedule G for each person. If no, go to the next schedule.

2. (a) State the name of each person with a source of income or compensation, and that person's relationship to you.

(b) State the name, address, and telephone number of the person or entity from whom the income was received.

**SCHEDULE H: OTHER INTERESTS AND INFORMATION**

List any additional interests or information not listed on any other Schedule that you believe may create a conflict or the appearance of a conflict between your personal interests and your duties with the County.